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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

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Application Number	10/006,929	
Filing Date	December 6, 2001	
First Named Inventor	Brown, William P.	
Art Unit	2131	
Examiner Name	To Be Assigned	
Attorney Docket Number	019417-000120118	

Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please identify **Extension of Time Request** Terminal Disclaimer Copy of Supplementary European Search **Express Abandonment Request** Request for Refund Report (3 pages); Reference (1); Information Disclosure Statement CD, Number of CD(s) Return Postcard Landscape Table on CD The Commissioner is authorized to charge any additional fees to Deposit Remarks Certified Copy of Priority Account 20-1430. Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Townsend and Townsend and Crew LLP Signature Printed name Gerald T. Gray Date Reg. No. March 7, 2005 41,797

## CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

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Signature	Josephin			
Typed or printed name	Jose Luna	Date	March 7 , 2005	

Mail Stop Amendment Symmissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

on March 7, 2005

TOWNSEND and TOWNSEND and CREW LLP

By: Jose from

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

William P. Brown et al.

Application No.: 10/006,929

Filed: December 6, 2001

For: METHODS AND SYSTEMS FOR IMPLEMENTING SHARED DISK ARRAY MANAGEMENT FUNCTIONS

Examiner: To Be Assigned

Art Unit: 2131

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references [excluding cited U.S.Patents, U.S. Patent Application publications, and appropriate IFW-stored, pending U.S. Patent Applications and portions thereof, per 1287 OG 163] are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and

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the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Supplementary European Search Report issued in connection with the corresponding European Patent Application No. 00911736.7.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this statement.

Applicant believes that <u>no fee is required</u> for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Gerald T. Gray Reg. No. 41,797

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PTO/SB/08A&B (08-03) Substitute for form 1449A&B/PTO Complete if Known Application Number 10/006,929 **INFORMATION DISCLOSURE** Filing Date December 6, 2001 STATEMENT BY APPLICANT First Named Inventor Brown, William P. Art Unit 2131 (use as many sheets as necessary) To Be Assigned Examiner Name Sheet Attorney Docket Number 019417-000120US

			U.S. PATENT DO	CUMENTS+	
		Document Number			
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document ·	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1.	5,459,857 A	10-17-1995	Rudeseal et al.	

				<b>FOREIGN PA</b>	TENT DOCUME	ENTS		
Examiner Initials*	Cite No.1	For	eign Patent Doc		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	2.	WO	98/28685	Kind Code <sup>5</sup> (if known)	07-02-1998	Symbios Inc.	Figures Appear	

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²	

Examiner Signature	Date Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.